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SHEET 1 of 1

| Complete if Known    |                   |  |  |
|----------------------|-------------------|--|--|
| Application Number   | 10/784,378        |  |  |
| Filing Date          | February 23, 2004 |  |  |
| First Named Inventor | George Goicoechea |  |  |
| Art Unit             | 3731              |  |  |
| Examiner Name        | Vy Q. Bul         |  |  |
| Attorney Docket No.  | BSI-210US9        |  |  |

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| _                     | Document Number |  | Bublication Date                 | Name of Patentee or         | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant |  |
| Examiner<br>Initials* | Cite<br>No.1    | Number - Kind Code <sup>2 (if known)</sup> | Publication Date<br>(MM-DD-YYYY) | Applicant of Cited Document | Figures Appear  |  |
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|                       |                 | US-  |                                  |                             |   |  |

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| _                            |   | Foreign Patent Document   | Dublication Sate                 | Name of Patentee or            | Pages, Columns,<br>Lines, Where Relevant |    |  |
| Examiner Cite Initials* No.1 |   | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup> | Publication Date<br>(MM-DD-YYYY) | Applicant of Cited<br>Document | Passages or Relevant<br>Figures Appear   | T6 |  |
| VÞ                           |   | EP 0 645 125 A1   | 03/29/1995                       | Sooho Medi-Tech<br>Co., Ltd.   |  |    |  |
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Date Examiner 41407 Considered Signature

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| Application Number   | 10/784,378        |  |  |  |  |
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| First Named Inventor | George Goicoechea |  |  |  |  |
| Art Unit             | 3731              |  |  |  |  |
| Examiner Name        | Vy Q. Bul         |  |  |  |  |
| Attorney Docket No.  | BSI-210US9        |  |  |  |  |

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| Examiner    | Cite | Document Number                            | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant |
| Initials*   | No.1 | Number - Kind Code <sup>2</sup> (if known) | (MM-DD-YYYY)     | Applicant of Cited Document | Figures Appear  |
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|                          | Cite<br>No.1 |                         | Publication Date<br>(MM-DD-YYYY) | Applicant of Cited<br>Document | Passages or Relevant<br>Figures Appear   | T <sup>6</sup> |  |
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| 1 | Examiner  | Chlan | Date       | 412107 | 1 |
|   | Signature |       | Considered | 111/   | J |

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SHEET 2 of 13

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| Application Number   | 10/784,378        |  |  |  |  |
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| First Named Inventor | George Goicoechea |  |  |  |  |
| Art Unit             | 3731              |  |  |  |  |
| Examiner Name        | Vy Q. Bui         |  |  |  |  |
| Attorney Docket No.  | BSI-210US9        |  |  |  |  |

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| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (U known)</sup> | Publication Date<br>(MM-DD-YYYY) |                 | ame of Patentee o<br>Applicant of Cited<br>Document |       | 76 |
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| Examiner<br>Signature | 7            | the_  |                                  |                 | Date<br>Considered                                  | 41407 |    |

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SHEET 3 of 13

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| First Named Inventor | George Goicoechea |  |  |  |  |
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Considered Signature

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|                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup> | Publication Date<br>(MM-DD-YYYY) | Applicant of Cited<br>Document |  | T⁵ |  |  |
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| Va                       |   | FR 1602513                       | 01/29/1971                     | National Research<br>Development<br>Corp.(GB)                                      |    |  |  |

| Examiner  | 1   | Date 11/2/07        |
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| Signature |     | Considered 4199     |
| Signature | UZ/ | Considered [ 14 1 7 |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

'Applicant's unique citation designation number (optional).

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SHEET 5 of 13

|                      | Complete If Known |   |
|----------------------|-------------------|---|
| Application Number   | 10/784,378        |   |
| Filing Date          | February 23, 2004 | · |
| First Named Inventor | George Goicoechea |   |
| Art Unit             | 3731              |   |
| Examiner Name        | Vy Q. Bul         |   |
| Attorney Docket No.  | BSI-210US9        |   |

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| Examiner  | Cite     | Document Number  Number - Kind Code <sup>2</sup> (if known) | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant |
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|                          | Cite<br>No.1 | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If</sup> known) | Publication Date<br>(MM-DD-YYYY) | Applicant of Cited Document |   | T⁵ |  |
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|                          |              | 93 19 267.3 (DE)  | 04/07/94                         | Dr. Dierk Vorwerk           |   |    |  |
|                          |              | PM 1537 (Australia)   | 04/06/95                         | Geoffrey H. White           |   |    |  |
| Vo                       |              | WO 83/03752   | 11/10/1983                       | Hans Wallstén               |   |    |  |

| Examiner  | Ch. | • | Date       | Melos |
|-----------|-----|---|------------|-------|
| Signature | *   |   | Considered | 41907 |

Signature

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|                      | Complete If Known |  |  |  |  |  |
|----------------------|-------------------|--|--|--|--|--|
| Application Number   | 10/784,378        |  |  |  |  |  |
| Filing Date          | February 23, 2004 |  |  |  |  |  |
| First Named Inventor | George Golcoechea |  |  |  |  |  |
| Art Unit_            | 3731              |  |  |  |  |  |
| Examiner Name        | Vy Q. Bul         |  |  |  |  |  |
| Attorney Docket No.  | BSI-210US9        |  |  |  |  |  |

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|                          | Cite<br>No.1 | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (II known)</sup> | Publication Date<br>(MM-DD-YYYY) | - 1                      | Passages or Relevant<br>Figures Appear   | T⁵ |  |
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| - <del>y</del> -         |              | WO 94/17754   | 08/18/1994                       | Schnepp-Pesch            |  |    |  |
| 1/2                      |              | WO 95/01761   | 01/19/1995                       | Dr. T.J. Fogarty         |  |    |  |

| Examiner  | Date       | 412107 |
|-----------|------------|--------|
| Signature | Considered |        |

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\*See kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

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\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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|                      | Complete If Known |   |  |  |  |  |
|----------------------|-------------------|---|--|--|--|--|
| Application Number   | 10/784,378        |   |  |  |  |  |
| Filing Date          | February 23, 2004 |   |  |  |  |  |
| First Named Inventor | George Goicoechea |   |  |  |  |  |
| Art Unit             | 3731              |   |  |  |  |  |
| Examiner Name        | Vy Q. Bui         | _ |  |  |  |  |
| Attorney Docket No.  | BSI-210US9        |   |  |  |  |  |

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| Examiner  | Cite | Document Number                            | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant |
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| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup> | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns,<br>Unes, Where Relevant<br>Passages or Relevant<br>Figures Appear | <b>T</b> 6 |
| V <sub>b</sub>        |              | WO 95/08966  | 04/06/1995 .                     | G.H. White  |   |            |
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| Examiner  | <br> | Date       | 41-1     |
|-----------|------|------------|----------|
| CYGHING   |      |            | 1 412162 |
| Signature |      | Considered | 7/7/     |
| 2.g.atara |      |            |          |

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

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<sup>&</sup>lt;sup>3</sup>See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

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SHEET 8 of 13

|                      | Complete If Known |  |
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| Application Number   | 10/784,378        |  |
| Filing Date          | February 23, 2004 |  |
| First Named Inventor | George Goicoechea |  |
| Art Unit             | 3731              |  |
| Examiner Name        | Vy Q. Bui         |  |
| Attorney Docket No.  | BSI-210US9        |  |

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| <b>5</b>              | Clas         | Document Number                             | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant |
| Examiner<br>Initials* | Cite<br>No.1 | Number -, Kind Code <sup>2 (if known)</sup> | (MM-DD-YYYY)     | Applicant of Cited Document | Figures Appear  |
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|           |     | <br>       |         |
|-----------|-----|------------|---------|
| Examiner  | Gr. | Date       | 1612/07 |
| Signature | 12  | Considered | 4190/   |
|           |     | <br>       |         |

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SHEET 9 of 13

|                      | Complete If Known |   |
|----------------------|-------------------|---|
| Application Number   | 10/784,378        |   |
| Filing Date          | February 23, 2004 | 1 |
| First Named Inventor | George Golcoechea |   |
| Art Unit             | 3731              |   |
| Examiner Name        | Vy Q. Bui         |   |
| Attorney Docket No.  | BSI-210US9        |   |

|                       |              |  | U.S. PATENT D                    | OCUMENTS   |   |
|-----------------------|--------------|--|----------------------------------|--|---|
|                       |              | Document Number                            |                                  | Na   | Pages, Columns, Lines, Where                    |
| Examiner<br>Inițials* | Cite<br>No.1 | Number - Kind Code <sup>2 (if known)</sup> | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
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|---------------------------------------|--------------------------|---|-------------------------------|--------------------------------|--|----|--|
|                                       |                          | Foreign Patent Document   |                               | Name of Patentee or            | Pages, Columns,<br>Lines, Where Relevant |    |  |
| Examiner<br>Initials*                 | Cite<br>No.1             | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known) | Publication Date (MM-DD-YYYY) | Applicant of Cited<br>Document | Passages or Relevant<br>Figures Appear   | To |  |
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| Examiner  | The | Date       | 142102   |
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**SHEET 10 of 13** 

|                      | Complete if Known |  |  |  |  |
|----------------------|-------------------|--|--|--|--|
| Application Number   | 10/784,378        |  |  |  |  |
| Filing Date          | February 23, 2004 |  |  |  |  |
| First Named Inventor | George Golcoechea |  |  |  |  |
| Art Unit             | 3731              |  |  |  |  |
| Examiner Name        | Vy Q. Bui         |  |  |  |  |
| Attorney Docket No.  | BSI-210US9        |  |  |  |  |

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|                       |                          | Document Number                            |                               |  | Pages, Columns, Lines, Where                    |
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Number - Kind Code <sup>2 (if known)</sup> | Publication Date (MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
| VB                    |                          | US-6,344,056                               | 02/05/2002                    | Dehdashtian  |   |
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|                       |                          | US-6,398,807                               | 06/04/2002                    | Chouinard et al.                                   |   |
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| V                     |                          | US-6,540,777                               | 04/01/2003                    | Stenzel  |   |
| 1/2                   |                          | US-6,551,350                               | 04/22/2003                    | Thornton et al.                                    |   |

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| Examiner                 | Cite | Foreign Patent Document   | Publication Date | Name of Patentee or<br>Applicant of Cited | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant |    |
| Initials*                | No.1 | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known) | (MM-DD-YYYY)     | Document                                  | Figures Appear   | T6 |
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| Examiner<br>Signature | · fu | Date<br>Considered | 412/07 |   |

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**SHEET 11 of 13** 

| Complete if Known    |                   |  |  |  |
|----------------------|-------------------|--|--|--|
| Application Number   | 10/784,378        |  |  |  |
| Filing Date          | February 23, 2004 |  |  |  |
| First Named Inventor | George Goicoechea |  |  |  |
| Art Unit             | 3731              |  |  |  |
| Examiner Name        | Vy Q. Bui         |  |  |  |
| Attorney Docket No.  | BSI-210US9        |  |  |  |

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| UB                    |  | US-6,613,073  | 09/02/2003                       | White et al.                                       |   |  |  |
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| FOREIGN PATENT DOCUMENTS |              |   |                                  |                                |  |    |
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|                          |              | Foreign Patent Document   |                                  | Name of Patentee or            | Pages, Columns,<br>Lines, Where Relevant |    |
| Examiner<br>Initials*    | Cite<br>No.1 | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup> | Publication Date<br>(MM-DD-YYYY) | Applicant of Cited<br>Document | Passages or Relevant<br>Figures Appear   | T6 |
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| Examiner<br>Signature | JL. | Date<br>Considered | 44707 |
|-----------------------|-----|--------------------|-------|
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<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the sarial number of the patent document.

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|                      | Complete If Known |  |  |  |  |
|----------------------|-------------------|--|--|--|--|
| Application Number   | 10/784,378        |  |  |  |  |
| Filing Date          | February 23, 2004 |  |  |  |  |
| First Named Inventor | George Golcoechea |  |  |  |  |
| Art Unit             | 3731              |  |  |  |  |
| Examiner Name        | Vy Q. Bui         |  |  |  |  |
| Attorney Docket No.  | BSI-210US9        |  |  |  |  |

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| Examiner<br>Initials*           | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T² |  |  |  |
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|                                 |              | Video cassette allegedly showing operation conducted by Geoffrey H. White on November 26, 1993   |    |  |  |  |
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| 1                               |              | Cragg et al., "Percutaneous Arterial Grafting", Radiology, Vol. 150, No. 1, pp. 45-49 (1984).  |    |  |  |  |
| Va                              |              | T.W. Duerig et al., "An Engineer's Perspective of Pseudoelasticity", pp. 369-393   |    |  |  |  |

| Examiner  | ر مار، |   | Date       | H2107       |
|-----------|--------|---|------------|-------------|
| Signature |        | • | Considered | 7190/       |
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**SHEET 13 of 13** 

|                      | Complete if Known |  |
|----------------------|-------------------|--|
| Application Number   | 10/784,378        |  |
| Filing Date          | February 23, 2004 |  |
| First Named Inventor | George Golcoechea |  |
| Art Unit             | 3731              |  |
| Examiner Name        | Vy Q. Bui         |  |
| Attorney Docket No.  | BSI-210US9        |  |

|                       |              | NON-PATENT LITERATURE DOCUMENTS  |    |
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| Examiner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T² |
| UB                    |              | Cragg et al., "Stents/Vascular Stents", <u>Interventional Radiology</u> , pp. 686-692 (1990).  |    |
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SHEET 1of 1

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| First Named Inventor | George Goicoechea |  |
| Art Unit             | 3731              |  |
| Examiner Name        |                   |  |
| Attorney Docket No.  | BSI-210US9        |  |

|                       | ,            |   | U.S. PATENT                       | OCUMENTS   |   |
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| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number - Kind Code <sup>2</sup> (If known) | Publication Date<br>(IMM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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|                       |              | US-6,123,722  | 09/26/2000                        | Fogarty et al.                                     |   |
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| INF  | ORI | MATION DISCLOSE   | JRE       | Filing I    | Date          | February 23, 2004 |                          |       |
| ST   | ATE | MENT BY APPLICA   | NT        | First N     | amed Inventor | George Goicoechea |                          |       |
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| Application Number   | 10/784,378        |  |
| Filing Date          | February 23, 2004 |  |
| First Named Inventor | George Golcoechea |  |
| Art Unit             | 3731              |  |
| Examiner Name        |                   |  |
| Attorney Docket No.  | BSI-210US9        |  |

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